

# Midterm #1 – Practice

MATH 436 — Numerical Analysis

Midterm: Thursday, Sept 29

*Please print your name:*

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**Reminder.** No notes, calculators or tools of any kind will be permitted on the midterm exam.

**Problem 1.** Determine all values  $C$  such that fixed-point iteration of  $f(x) = \frac{2x^2}{1+3x}$  converges locally to  $C$ . In each case, determine the exact order of convergence as well as the rate.

**Problem 2.** Consider  $f(x) = (rx + 1)(x^2 - 4)$  where  $r$  is some constant. Suppose we want to use Newton's method to calculate the root  $x^* = 2$ .

- (a) For which values of  $r$  is Newton's method guaranteed to converge (at least) quadratically to  $x^* = 2$ ?
- (b) Analyze the cases in which Newton's method does not converge quadratically to  $x^* = 2$ . Does it still converge? If so, what can we say about the order and rate of convergence?
- (c) For which values of  $r$  does Newton's method converge to  $x^* = 2$  faster than quadratically?

**Problem 3.**

- (a) Express 123 in base 5.
- (b) Which number is represented by  $(1101.011)_2$ ?
- (c) Express  $31/14$  in base 2. If necessary, indicate which digits repeat.
- (d) Represent  $-6.5$  as a single precision floating-point number according to IEEE 754.
- (e) Express  $-27$  in binary using the two's complement representation with 6 bits.

**Problem 4.**

- (a) What is IEEE 754? Describe two popular choices that it offers. How many bits are used for what purpose?
- (b) Give two reasons why floating-point numbers are used rather than fixed-point numbers.
- (c) Give an example of a situation where one should not use floating-point numbers for nonintegers. Offer an alternative.

**Problem 5.** We wish to compute the root of  $f(x) = x^3 - 3$ .

- (a) Starting with the interval  $[1, 2]$ , apply two iterations of the bisection method. What exactly does it provide? What is the final resulting approximation of  $\sqrt[3]{3}$ ?
- (b) After how many iterations can we guarantee that the absolute error is less than 0.001?
- (c) Describe in words how the regula falsi method proceeds different from the bisection method.
- (d) After  $n$  iterations of the regula falsi method, is it a good idea to use the midpoint of the final interval as an approximation of the root?
- (e) How does the secant method relate to the regula falsi method?
- (f) Give one advantage of the secant method over the regula falsi method, as well as one advantage of the regula falsi method over the secant method.
- (g) Starting with the initial approximation 1, apply two iterations of the Newton method. Write down (but do not compute) the absolute and relative errors.
- (h) Determine whether the Newton method converges locally to  $\sqrt[3]{3}$ . If so, determine the exact order and rate of convergence.
- (i) Give one advantage of the Newton method over the secant method, as well as one advantage of the secant method over the Newton method.

**Problem 6.** Let  $g(x) = \frac{3}{4}\left(x + \frac{1}{x^3}\right)$

- (a) Determine the fixed points of  $g(x)$ .
- (b) For each fixed point  $x^*$ , determine whether fixed-point iteration of  $g(x)$  converges locally to  $x^*$ . If so, determine the exact order and rate of convergence.
- (c) This fixed-point iteration was obtained by applying Newton's method to a function  $f(x)$ . Determine such a function  $f(x)$ .

**Problem 7.**

- (a) We have learned about the bisection method, the regula falsi method, the Illinois method, the secant method, and the Newton method. For each method, answer the following:
  - (1) Does the method always converge?
  - (2) What does the method require as input? What does it provide as output?
- (b) True or false? If the Newton method converges, it must converge quadratically.
- (c) Newton's method applied to  $f(x) = \sin(x^2 + 1)$  is equivalent to fixed-point iteration of which function  $g(x)$ ?
- (d) Give a condition such that fixed-point iteration of a function  $f(x)$  converges locally to some value  $C$ .
- (e) Suppose that  $x^*$  is a root of  $f(x)$ . When does Newton's method fail to locally converge to  $x^*$  with order of convergence at least 2?

**Problem 8.** Suppose we wish to approximate the function  $f(x) = x^2 \ln(x)$ .

- (a) What is the 3rd Taylor polynomial  $p_3(x)$  of  $f(x)$  at  $x=1$ ?
- (b) Provide an upper bound for the error of approximating  $f(x)$  by  $p_3(x)$  on the interval  $[1, 3]$ .
- (c) Determine a value  $b$  such that the error of approximating  $f(x)$  by  $p_3(x)$  on the interval  $[1, b]$  is less than 0.001.

**Problem 9.** For each snippet of Python code, state the output produced by each `print` statement.

(a) 

```
print(2022 // 10)
print(2022 % 10)
```

(b) 

```
d = []
x = 17
for i in range(3):
    d.append(x % 3)
    x = x // 3
print(d)
```

(c) 

```
c = 0
x = 17
for i in range(3):
    if x % 3 == 1:
        c = c+1
    x = x // 3
print(c)
```

**Problem 10.**

(a) Explain the following floating-point issue:

```
>>> 0.1 + 0.1 + 0.1 == 0.3
False
>>> 0.1 + 0.1 + 0.1
0.30000000000000004
```

(b) Explain the following floating-point issue:

```
>>> 10.**9 + 10.**-9 == 10.**9
True
```

(c) Explain the following floating-point issue:

```
>>> def f(x):
    return (x-1)**99
>>> f(0.99) < 0
True
>>> f(1.01) > 0
True
>>> f(0.99) * f(1.01) < 0
False
```