

**Example 107. (review)** The PNT estimates that there are how many primes up to  $2^{1024}$ ? What is the approximate proportion of primes among numbers up to  $2^{1024}$ ?

**Solution.** By the PNT (applied with  $x = 2^{1024}$ ), there are approximately  $\frac{x}{\ln(x)} = \frac{2^{1024}}{\ln(2^{1024})} = \frac{2^{1024}}{1024 \ln(2)} \approx \frac{2^{1024}}{709.8}$  primes up to  $x = 2^{1024}$ . In particular, the proportion of primes up to  $2^{1024}$  is about  $\frac{1}{709.8}$ . That means, roughly, 1 in 710 numbers of this magnitude are prime.

**Example 108.** Using Sage, determine all numbers  $n$  up to 5000, for which 2 is a Fermat liar.

**Solution.** Recall that, if  $N$  is composite, then a residue  $a$  is a Fermat liar modulo  $N$  if  $a^{N-1} \equiv 1 \pmod{N}$ .

```
Sage] def is_fermat_liar(x, n):
      return not is_prime(n) and power_mod(x, n-1, n) == 1
```

```
Sage] [ n for n in [1..5000] if is_fermat_liar(2, n) ]
```

```
[341, 561, 645, 1105, 1387, 1729, 1905, 2047, 2465, 2701, 2821, 3277, 4033, 4369, 4371, 4681]
```

Even if you have never written any code, you can surely figure out what's going on!

**Heads-up!** The improved primality test discussed today will reduce this list to just 2047, 3277, 4033, 4681.

### The Miller–Rabin primality test

**Review.** The congruence  $x^2 \equiv 1 \pmod{p}$  has only the solutions  $x \equiv \pm 1$ .

By contrast, if  $n$  is composite (and odd), then  $x^2 \equiv 1 \pmod{n}$  has additional solutions.

The Miller–Rabin primality test exploits this difference to fix the issues of the Fermat primality test.

The Fermat primality test picks  $a$  and checks whether  $a^{n-1} \equiv 1 \pmod{n}$ .

- If  $a^{n-1} \not\equiv 1 \pmod{n}$ , then we are done because  $n$  is definitely not a prime.
- If  $a^{n-1} \equiv 1 \pmod{n}$ , then either  $n$  is prime or  $a$  is a Fermat liar.

But instead of leaving off here, we can dig a little deeper:

Note that  $a^{(n-1)/2}$  satisfies  $x^2 \equiv 1 \pmod{n}$ . If  $n$  is prime, then  $x \equiv \pm 1$  so that  $a^{(n-1)/2} \equiv \pm 1 \pmod{n}$ .

- Hence, if  $a^{(n-1)/2} \not\equiv \pm 1 \pmod{n}$ , then we again know for sure that  $n$  is not a prime.

**Advanced comment.** In fact, can you see that we can now factor  $n$ ? See below.

- If  $a^{(n-1)/2} \equiv 1 \pmod{n}$  and  $\frac{n-1}{2}$  is divisible by 2, we continue and look at  $a^{(n-1)/4} \pmod{n}$ .
  - If  $a^{(n-1)/4} \not\equiv \pm 1 \pmod{n}$ , then  $n$  is not a prime.
  - If  $a^{(n-1)/4} \equiv \pm 1 \pmod{n}$  and  $\frac{n-1}{4}$  is divisible by 2, we continue...

Write  $n-1 = 2^s \cdot m$  with  $m$  odd. In conclusion, if  $n$  is a prime, then

$$a^m \equiv 1 \quad \text{or, for some } r = 0, 1, \dots, s-1, \quad a^{2^r m} \equiv -1 \pmod{n}.$$

In other words, if  $n$  is a prime, then the values  $a^m, a^{2m}, \dots, a^{2^s m}$  must be of the form  $1, 1, \dots, 1$  or  $\dots, -1, 1, 1, \dots, 1$ . If the values are of this form even though  $n$  is composite, then  $a$  is a **strong liar** modulo  $n$ .

This gives rise to the following improved primality test:

### Miller–Rabin primality test

**Input:** number  $n$  and parameter  $k$  indicating the number of tests to run

**Output:** “not prime” or “likely prime”

**Algorithm:**

Write  $n - 1 = 2^s \cdot m$  with  $m$  odd.

Repeat  $k$  times:

    Pick a random number  $a$  from  $\{2, 3, \dots, n - 2\}$ .

    If  $a^m \not\equiv 1 \pmod{n}$  and  $a^{2^r m} \not\equiv -1 \pmod{n}$  for all  $r = 0, 1, \dots, s - 1$ , then  
        stop and output “not prime”.

Output “likely prime”.

**Comment.** If  $n$  is composite, then fewer than a quarter of the values for  $a$  can possibly be strong liars. In other words, for all composite numbers, the odds that the Miller–Rabin test returns “likely prime” are less than  $4^{-k}$ .

**Comment.** Note that, though it looks more involved, the Miller–Rabin test is essentially as fast as the Fermat primality test (recall that, to compute  $a^{n-1}$ , we proceed using binary exponentiation).

**Advanced comments.** This is usually implemented as a probabilistic test. However, assuming GRH (the generalized Riemann hypothesis), it becomes a deterministic algorithm if we check  $a = 2, 3, \dots, \lfloor 2(\log n)^2 \rfloor$ . This is mostly of interest for theoretical applications. For instance, this then becomes a polynomial time algorithm for checking whether a number is prime.

More recently, in 2002, the AKS primality test was devised. This test is polynomial time (without relying on outstanding conjectures like GRH).

**Example 109.** Suppose we want to determine whether  $n = 221$  is a prime. Simulate the Miller–Rabin primality test for the choices  $a = 24$ ,  $a = 38$  and  $a = 47$ .

**Solution.**  $n - 1 = 4 \cdot 55 = 2^s \cdot m$  with  $s = 2$  and  $m = 55$ .

- For  $a = 24$ , we compute  $a^m = 24^{55} \equiv 80 \not\equiv \pm 1 \pmod{221}$ . We continue with  $a^{2m} \equiv 80^2 \equiv 212 \not\equiv -1$ , and conclude that  $n$  is not a prime.

**Note.** We do not actually need to compute that  $a^{n-1} = a^{4m} \equiv 81$ , which features in the Fermat test and which would also lead us to conclude that  $n$  is not prime.

- For  $a = 38$ , we compute  $a^m = 38^{55} \equiv 64 \not\equiv \pm 1 \pmod{221}$ . We continue with  $a^{2m} \equiv 64^2 \equiv 118 \not\equiv -1$  and conclude that  $n$  is not a prime.

**Note.** This case is somewhat different from the previous in that  $38$  is a Fermat liar. Indeed,  $a^{4m} \equiv 118^2 \equiv 1 \pmod{221}$ . This means that we have found a nontrivial squareroot of  $1$ . In this case, the Fermat test would have failed us while the Miller–Rabin test succeeds.

- For  $a = 47$ , we compute  $a^m = 47^{55} \equiv 174 \not\equiv \pm 1 \pmod{221}$ . We continue with  $a^{2m} \equiv 174^2 \equiv -1$ . We conclude that  $n$  is a prime or  $a$  is a strong liar. In other words, we are not sure but are (incorrectly) leaning towards thinking that  $221$  was likely a prime.

**Comment.** In this example, only  $4$  of the  $218$  residues  $2, 3, \dots, 219$  are strong liars (namely  $21, 47, 174, 200$ ). For comparison, there are  $14$  Fermat liars (namely  $18, 21, 38, 47, 64, 86, 103, 118, 135, 157, 174, 183, 200, 203$ ). [Note that  $\pm 1$  are Fermat as well as strong liars, too. However, these are usually excluded when testing.]

**Example 110.** Show that, if  $x^2 \equiv 1 \pmod{n}$  but  $x \not\equiv \pm 1 \pmod{n}$ , then we can find a factor of  $n$ .

Show that, if  $a^{n-1} \equiv 1 \pmod{n}$  but  $a^{(n-1)/2} \not\equiv \pm 1 \pmod{n}$ , then we can find a factor of  $n$ .

**Solution.** More generally, suppose we have  $x^2 \equiv 1 \pmod{n}$  but  $x \not\equiv \pm 1 \pmod{n}$  (that's what we have with  $x = a^{(n-1)/2}$ ). Note that  $x^2 - 1 = (x - 1)(x + 1) \equiv 0 \pmod{n}$ . Since  $n$  divides the product of  $(x - 1)$  and  $(x + 1)$  but neither of them individually, it follows that  $\gcd(x - 1, n)$  is a proper divisor of  $n$ .

**Comment.** This happens within Miller–Rabin if we have, for instance, if  $a^{n-1} \equiv 1 \pmod{n}$  but  $a^{(n-1)/2} \not\equiv \pm 1 \pmod{n}$  (take  $x = a^{(n-1)/2}$ ). However, note that this only happens if  $a$  is a Fermat liar modulo  $n$ , and these are typically very rare. So, unfortunately, we have not discovered an efficient factorization algorithm. [But we have run into an idea which is used for some of the best known factorization algorithms. If time permits, more on that later...]

**For instance.** In the case of  $a = 38$  and  $n = 221$  in Example 109 we found that  $118^2 \equiv 1 \pmod{221}$ . It follows that  $\gcd(118 - 1, 221) = 13$  (as well as  $\gcd(118 + 1, 221) = 17$ ) are divisors of  $n = 221$ .

**Comment.** Explain that the same approach works for any quadratic equation  $x^2 \equiv a^2 \pmod{n}$ . Or even any quadratic equation for which we have more than two solutions.

**Example 111.** In Example 96, we saw that all  $\phi(561) = 320$  invertible residues  $a$  modulo 561 are Fermat liars (that is, they all satisfy  $a^{560} \equiv 1 \pmod{561}$ ). How many strong liars are there?

**Solution.** There are 10 strong liars in total:  $\pm 1, \pm 50, \pm 101, \pm 103, \pm 256$ .

In particular, only 8 of the 558 residues  $2, 3, \dots, 559$  are strong liars. That's about 1.43% (much less than the theoretic bound of 25%).

**Advanced comment.** Among numbers  $N$  up to 1000, the proportion of strong liars (taken as the number of strong liars among the residues  $2, 3, \dots, N - 2$ ) is highest for  $N = 703$  in which case we have 160 strong liars among  $2, 3, \dots, 701$  (which is a proportion of  $\frac{160}{700} = \frac{8}{35} \approx 22.86\%$ , just shy of the theoretical bound of 25%).

Here (as illustrated in the case of 561 above) we define the proportion of strong liars to be the proportion of residues among  $2, 3, \dots, N - 2$ , which are strong liars. In other words, we are excluding the residues  $\pm 1$  as bases. If we included these, then  $N = 9$  would have the highest proportion, namely exactly 25%, because  $\pm 1$  are strong liars.