

Review. There are $\phi(\phi(p)) = \phi(p-1)$ primitive roots modulo a prime p .

Example 155. (extra) Let p be an odd prime. Show that the $\frac{\phi(p-1)}{p-1} \leq \frac{1}{2}$.

In other words, at most half of the invertible residues are primitive roots.

Solution. Let p_1, p_2, \dots be the primes, in increasing order, dividing $p-1$. Since $p \neq 2$, $p-1$ is divisible by 2, so that $p_1 = 2$.

$$\text{Then, } \phi(p-1) = (p-1) \underbrace{\left(1 - \frac{1}{p_1}\right)}_{=1/2} \underbrace{\left(1 - \frac{1}{p_2}\right)}_{\leq 1} \dots \leq \frac{1}{2}(p-1).$$

Correspondingly, $\frac{\phi(p-1)}{p-1} \leq \frac{\frac{1}{2}(p-1)}{p-1} = \frac{1}{2}$, as claimed.

In fact. Note that $\left(1 - \frac{1}{p_2}\right) < 1$ if there is a second prime. Our proof therefore actually shows that $\frac{\phi(p-1)}{p-1} = \frac{1}{2}$ if and only if $p-1$ is of the form 2^n (i.e. the only prime dividing $p-1$ is 2). Equivalently, if p is of the form $2^n + 1$.

Comment. Primes of the form $2^n + 1$ are known as **Fermat primes**. It can be shown that such a prime is, in fact, necessarily of the form $F_k = 2^{2^k} + 1$. The first five numbers $F_0 = 3, F_1 = 5, F_2 = 17, F_3 = 257, F_4 = 65537$ are prime, and Fermat conjectured that F_k is prime for all $k \geq 0$. This was proven wrong by Euler who demonstrated that $F_5 = 2^{32} + 1 = 641 \cdot 6700417$ (this was way before the time, we could ask a computer to factor not-too-large numbers). To this day, it is not known whether any further Fermat primes exist.

Example 156. One can show that, for every prime p , primitive roots exist. By Example 154, it follows that the total number of primitive roots is $\phi(\phi(p)) = \phi(p-1)$. The following computations in Sage indicate that typically a “decent” proportion (25-50%) of all invertible residues are primitive roots. The exact proportion is, of course $\frac{\phi(p-1)}{p-1}$ but to say more about the magnitude, we need the factorization of $p-1$.

Advanced comment. However, the number of primitive roots can (though this is very rare) be an arbitrarily small proportion. In fact, a result of Kátai shows that, for any $x \in [0, 1]$, there is a proportion $P(x)$ of primes with $\frac{\phi(p-1)}{p-1} \leq x$, and that $P(x)$ is a strictly increasing continuous function with $P(0) = 0$ and $P(1/2) = 1$.

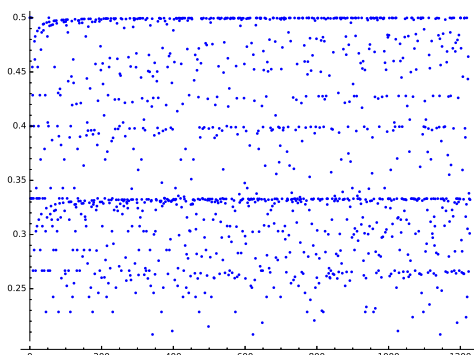
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Sage] [p for p in prime_range(100)]
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[2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 79, 83, 89, 97]

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Sage] [euler_phi(p-1)/(p-1) for p in prime_range(30)]
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[1, 1/2, 1/2, 1/3, 2/5, 1/3, 1/2, 1/3, 5/11, 3/7]

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Sage] list_plot([euler_phi(p-1)/(p-1) for p in prime_range(3,10000)])
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Safe primes

Recall that p is a safe prime if both p and $(p-1)/2$ are prime. The next example illustrates why it is common to use safe primes for ElGamal.

In general, it is difficult to ensure that g is a primitive root, or almost a primitive root, modulo p .

Example 157. Suppose that p is a safe prime. Show that all residues $g \not\equiv 0, \pm 1 \pmod{p}$ have order $(p-1)/2$ or $p-1$.

In the latter case, g is a primitive root. In fact, half of the residues $g \not\equiv 0, \pm 1$ are primitive roots.

Solution. Suppose $g \not\equiv 0, \pm 1 \pmod{p}$. Because p is a prime and $g \not\equiv 0$, g is invertible. Its multiplicative order N divides $\phi(p) = p-1$. But the prime factorization of $p-1$ is 2 times $(p-1)/2$. Hence, the only possible orders are 1, 2, $(p-1)/2$ and $p-1$. The residues ± 1 are the only with order 1 and 2 (why?!). Thus, g must have order $(p-1)/2$ or $p-1$.

Finally, note that the number of primitive roots is $\phi(p-1) = \phi(2)\phi((p-1)/2) = (p-3)/2$, which is exactly half of the residues g .