

# Ramanujan's $\tau$ Function

Armin Straub

06-May 2007

# Outline

## Introduction

The  $\tau$  Function

Simple Congruences for  $\tau$

## Modular Forms

Eisenstein Series

Differentiating Modular Forms

## More Congruences for $\tau$

Differentiating  $\Delta$

An Exact Formula

Modulus 691

Modulus 7

## Fun Stuff

Ramanujan Can Err

Open Problems

# The $\tau$ Function (I)

## Definition

$$\Delta \triangleq q \prod_{n \geq 1} (1 - q^n)^{24} = \sum_{n \geq 1} \tau(n) q^n.$$

# The $\tau$ Function (I)

## Definition

$$\Delta \triangleq q \prod_{n \geq 1} (1 - q^n)^{24} = \sum_{n \geq 1} \tau(n) q^n.$$

## Example

The first values are

$$\tau(1) = 1$$

$$\tau(2) = -24$$

$$\tau(3) = 252$$

$$\tau(4) = -1472$$

$$\tau(5) = 4830$$

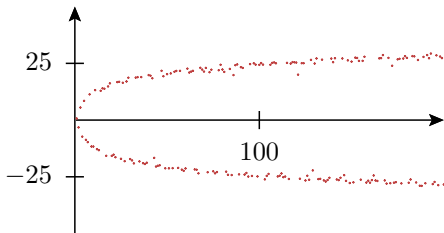
$$\tau(6) = -6048$$

$$\tau(7) = -16744$$

$$\tau(8) = 84480$$

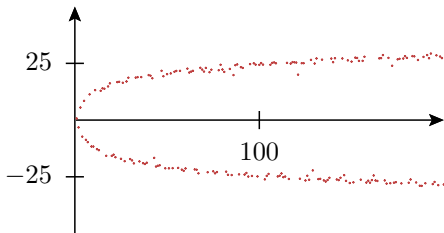
## The $\tau$ Function (II)

- ▶ A plot of  $\log(\tau(n))$ .



## The $\tau$ Function (II)

- ▶ A plot of  $\log(\tau(n))$ .

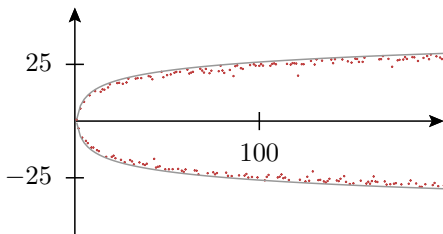


- ▶ Ramanujan conjectured and Deligne proved

$$|\tau(p)| \leq 2p^{11/2}.$$

## The $\tau$ Function (II)

- ▶ A plot of  $\log(\tau(n))$ .



- ▶ Ramanujan conjectured and Deligne proved

$$|\tau(p)| \leq 2p^{11/2}.$$

## Simple Congruences (I)

►  $(a + b)^p \equiv a^p + b^p \pmod{p}$



## Simple Congruences (I)

▶  $(a + b)^p \equiv a^p + b^p \pmod{p}$

▶ Hence,

$$q \prod_{n \geq 1} (1 - q^n)^{24} \equiv q \prod_{n \geq 1} (1 - q^n)^3 \prod_{n \geq 1} (1 - q^{7n})^3 \pmod{7}$$

## Simple Congruences (I)

- ▶  $(a + b)^p \equiv a^p + b^p \pmod{p}$
- ▶ Hence, using Jacobi's identity

$$\begin{aligned}
 q \prod_{n \geq 1} (1 - q^n)^{24} &\equiv q \prod_{n \geq 1} (1 - q^n)^3 \prod_{n \geq 1} (1 - q^{7n})^3 \pmod{7} \\
 &= q \sum_{n \geq 0} (-1)^n (2n + 1) q^{n(n+1)/2} \prod_{n \geq 1} (1 - q^{7n})^3
 \end{aligned}$$

## Simple Congruences (I)

- ▶  $(a + b)^p \equiv a^p + b^p \pmod{p}$
- ▶ Hence, using Jacobi's identity

$$\begin{aligned} q \prod_{n \geq 1} (1 - q^n)^{24} &\equiv q \prod_{n \geq 1} (1 - q^n)^3 \prod_{n \geq 1} (1 - q^{7n})^3 \pmod{7} \\ &= q \sum_{n \geq 0} (-1)^n (2n + 1) q^{n(n+1)/2} \prod_{n \geq 1} (1 - q^{7n})^3 \end{aligned}$$

- ▶  $\frac{n(n+1)}{2} + 1 \equiv 0, 1, 2, 4 \pmod{7}$

## Simple Congruences (I)

- ▶  $(a + b)^p \equiv a^p + b^p \pmod{p}$
- ▶ Hence, using Jacobi's identity

$$\begin{aligned} q \prod_{n \geq 1} (1 - q^n)^{24} &\equiv q \prod_{n \geq 1} (1 - q^n)^3 \prod_{n \geq 1} (1 - q^{7n})^3 \pmod{7} \\ &= q \sum_{n \geq 0} (-1)^n (2n + 1) q^{n(n+1)/2} \prod_{n \geq 1} (1 - q^{7n})^3 \end{aligned}$$

- ▶  $\frac{n(n+1)}{2} + 1 \equiv 0, 1, 2, 4 \pmod{7}$
- ▶ We conclude

$$\tau(7n + 3), \tau(7n + 5), \tau(7n + 6) \equiv 0 \pmod{7}.$$

## Simple Congruences (I)

- ▶  $(a + b)^p \equiv a^p + b^p \pmod{p}$
- ▶ Hence, using Jacobi's identity

$$\begin{aligned} q \prod_{n \geq 1} (1 - q^n)^{24} &\equiv q \prod_{n \geq 1} (1 - q^n)^3 \prod_{n \geq 1} (1 - q^{7n})^3 \pmod{7} \\ &= q \sum_{n \geq 0} (-1)^n (2n + 1) q^{n(n+1)/2} \prod_{n \geq 1} (1 - q^{7n})^3 \end{aligned}$$

- ▶  $\frac{n(n+1)}{2} + 1 \equiv 0, 1, 2, 4 \pmod{7}$
- ▶ We conclude

$$\tau(7n), \tau(7n + 3), \tau(7n + 5), \tau(7n + 6) \equiv 0 \pmod{7}.$$

## Simple Congruences (II)

### Theorem

$$\begin{aligned} \tau(mn) &= \tau(m)\tau(n) && \text{if } \gcd(m, n) = 1, \\ \tau(p^{n+1}) &= \tau(p)\tau(p^n) - p^{11}\tau(p^{n-1}) && \text{if } p \text{ prime.} \end{aligned}$$

## Simple Congruences (II)

### Theorem

$$\begin{aligned} \tau(mn) &= \tau(m)\tau(n) && \text{if } \gcd(m, n) = 1, \\ \tau(p^{n+1}) &= \tau(p)\tau(p^n) - p^{11}\tau(p^{n-1}) && \text{if } p \text{ prime.} \end{aligned}$$

►  $\tau(p) \equiv 0 \pmod{p} \quad \implies \quad \tau(np) \equiv 0 \pmod{p}$

## Simple Congruences (II)

### Theorem

$$\begin{aligned} \tau(mn) &= \tau(m)\tau(n) && \text{if } \gcd(m, n) = 1, \\ \tau(p^{n+1}) &= \tau(p)\tau(p^n) - p^{11}\tau(p^{n-1}) && \text{if } p \text{ prime.} \end{aligned}$$

- ▶  $\tau(p) \equiv 0 \pmod{p} \implies \tau(np) \equiv 0 \pmod{p}$
- ▶  $\tau(7) = -16744 \implies \tau(7n) \equiv 0 \pmod{7}$



## Simple Congruences (II)

### Theorem

$$\begin{aligned} \tau(mn) &= \tau(m)\tau(n) && \text{if } \gcd(m, n) = 1, \\ \tau(p^{n+1}) &= \tau(p)\tau(p^n) - p^{11}\tau(p^{n-1}) && \text{if } p \text{ prime.} \end{aligned}$$

- ▶  $\tau(p) \equiv 0 \pmod{p} \implies \tau(np) \equiv 0 \pmod{p}$
- ▶  $\tau(7) = -16744 \implies \tau(7n) \equiv 0 \pmod{7}$
- ▶ Only a few such primes are known:

2, 3, 5, 7, 2411

## Eisenstein Series

- ▶ Eisenstein Series  $E_n$  are an example of modular forms of weight  $2n$ .

$$E_n = 1 - \frac{4k}{B_{2k}} \sum_{n \geq 1} \sigma_{2k-1}(n) q^n$$

## Eisenstein Series

- ▶ Eisenstein Series  $E_n$  are an example of modular forms of weight  $2n$ .

$$E_n = 1 - \frac{4k}{B_{2k}} \sum_{n \geq 1} \sigma_{2k-1}(n) q^n$$

- ▶ Any modular form  $f$  can be obtained as a polynomial in  $E_2$  and  $E_3$ .

## Eisenstein Series

- ▶ Eisenstein Series  $E_n$  are an example of modular forms of weight  $2n$ .

$$E_n = 1 - \frac{4k}{B_{2k}} \sum_{n \geq 1} \sigma_{2k-1}(n) q^n$$

- ▶ Any modular form  $f$  can be obtained as a polynomial in  $E_2$  and  $E_3$ .
- ▶ What about  $E_1$ ?

## Eisenstein Series

- ▶ Eisenstein Series  $E_n$  are an example of modular forms of weight  $2n$ .

$$E_n = 1 - \frac{4k}{B_{2k}} \sum_{n \geq 1} \sigma_{2k-1}(n) q^n$$

- ▶ Any modular form  $f$  can be obtained as a polynomial in  $E_2$  and  $E_3$ .
- ▶ What about  $E_1$ ?
- ▶  $E_1$  is not modular but

$$E_1(-1/z) = z^2 E_1(z) + \frac{12}{2\pi i} z.$$

# Differentiating Modular Forms

- ▶ If  $f$  is a modular form. What about

$$\frac{df}{dz} ?$$

## Differentiating Modular Forms

- ▶ If  $f$  is a modular form. What about

$$q \frac{df}{dq} = \frac{1}{2\pi i} \frac{df}{dz} ?$$

## Differentiating Modular Forms

- ▶ If  $f$  is a modular form. What about

$$\theta f \triangleq q \frac{df}{dq} = \frac{1}{2\pi i} \frac{df}{dz} ?$$



## Differentiating Modular Forms

- ▶ If  $f$  is a modular form. What about

$$\theta f \triangleq q \frac{df}{dq} = \frac{1}{2\pi i} \frac{df}{dz} ?$$

- ▶ Not modular, but this is where  $E_1$  comes in.

## Differentiating Modular Forms

- ▶ If  $f$  is a modular form. What about

$$\theta f \triangleq q \frac{df}{dq} = \frac{1}{2\pi i} \frac{df}{dz} ?$$

- ▶ Not modular, but this is where  $E_1$  comes in.

### Lemma

*If  $f$  is a modular form of weight  $k$  then*

$$\theta f - \frac{k}{12} E_1 f$$

*is a modular form of weight  $k + 2$ .*

## Differentiating $\Delta$

$$\theta f - \frac{k}{12} E_1 f$$

- ▶ Applied to  $\Delta$  which is of weight 12,

$$\theta\Delta - E_1\Delta = 0.$$

## Differentiating $\Delta$

- ▶ Applied to  $\Delta$  which is of weight 12,

$$\theta\Delta - E_1\Delta = 0.$$

- ▶ This gives the recursion

$$(n-1)\tau(n) = -24 \sum_{m=1}^{n-1} \tau(m)\sigma_1(n-m).$$

$$E_1 = 1 - 24 \sum_{n \geq 1} \sigma_1(n)q^n$$

## Differentiating $\Delta$

$$E_1 = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$$

- ▶ Applied to  $\Delta$  which is of weight 12,

$$\theta\Delta - E_1\Delta = 0.$$

- ▶ This gives the recursion

$$(n-1)\tau(n) = -24 \sum_{m=1}^{n-1} \tau(m)\sigma_1(n-m).$$

- ▶ And the nice congruences

$$n \equiv 0, 2 \pmod{6} \implies \tau(n) \equiv 0 \pmod{24}.$$

## An Exact Formula

►  $\Delta = \alpha E_3^2 + \beta E_6.$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n) q^n$$

$$E_6 = 1 + \frac{65520}{691} \sum_{n \geq 1} \sigma_{11}(n) q^n$$

## An Exact Formula

- ▶  $\Delta = \alpha E_3^2 + \beta E_6$ .
- ▶ This requires

$$0 = \alpha + \beta,$$

$$1 = -2 \cdot 504\alpha + \frac{65520}{691}\beta.$$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n)q^n$$

$$E_6 = 1 + \frac{65520}{691} \sum_{n \geq 1} \sigma_{11}(n)q^n$$

## An Exact Formula

- ▶  $\Delta = \alpha E_3^2 + \beta E_6$ .
- ▶ This requires

$$0 = \alpha + \beta,$$

$$1 = -2 \cdot 504\alpha + \frac{65520}{691}\beta.$$

- ▶  $762048\Delta = -691E_3^2 + 691E_6$ .

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n)q^n$$

$$E_6 = 1 + \frac{65520}{691} \sum_{n \geq 1} \sigma_{11}(n)q^n$$



## An Exact Formula

- ▶  $\Delta = \alpha E_3^2 + \beta E_6.$
- ▶ This requires

$$0 = \alpha + \beta,$$

$$1 = -2 \cdot 504\alpha + \frac{65520}{691}\beta.$$

- ▶  $762048\Delta = -691E_3^2 + 691E_6.$
- ▶ In other words,

$$\tau(n) = \frac{691}{756}\sigma_5(n) - \frac{691}{3}\sigma_5 * \sigma_5(n) + \frac{65}{756}\sigma_{11}(n).$$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n)q^n$$

$$E_6 = 1 + \frac{65520}{691} \sum_{n \geq 1} \sigma_{11}(n)q^n$$

## Modulus 691

- Consider the previous

$$\tau(n) = \frac{691}{756}\sigma_5(n) - \frac{691}{3}\sigma_5 * \sigma_5(n) + \frac{65}{756}\sigma_{11}(n).$$

## Modulus 691

- ▶ Consider the previous

$$\tau(n) = \frac{691}{756}\sigma_5(n) - \frac{691}{3}\sigma_5 * \sigma_5(n) + \frac{65}{756}\sigma_{11}(n).$$

- ▶ As desired,

$$\tau(n) \equiv \sigma_{11}(n) \pmod{691}.$$

## Modulus 691

- ▶ Consider the previous

$$\tau(n) = \frac{691}{756}\sigma_5(n) - \frac{691}{3}\sigma_5 * \sigma_5(n) + \frac{65}{756}\sigma_{11}(n).$$

- ▶ As desired,

$$\tau(n) \equiv \sigma_{11}(n) \pmod{691}.$$

- ▶ For primes

$$\tau(p) \equiv 1 + p^{11} \pmod{691}.$$

## Modulus 7

► Let's start with

$$\begin{aligned} E_3 &\equiv 1, \\ E_2^2 = E_4 &\equiv E_1 \pmod{7}. \end{aligned}$$

$$E_2 = 1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n$$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n) q^n$$

$$E_4 = 1 + 480 \sum_{n \geq 1} \sigma_7(n) q^n$$

$$\theta f - \frac{k}{12} E_1 f$$

## Modulus 7

- ▶ Let's start with

$$\begin{aligned} E_3 &\equiv 1, \\ E_2^2 = E_4 &\equiv E_1 \pmod{7}. \end{aligned}$$

- ▶ Then

$$\begin{aligned} 1728\Delta &= E_2^3 - E_3^2 \\ &\equiv E_1 E_2 - E_3 \\ &= 3\theta E_2 \pmod{7}. \end{aligned}$$

$$E_2 = 1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n$$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n) q^n$$

$$E_4 = 1 + 480 \sum_{n \geq 1} \sigma_7(n) q^n$$

$$\theta f - \frac{k}{12} E_1 f$$

## Modulus 7

- ▶ Let's start with

$$\begin{aligned} E_3 &\equiv 1, \\ E_2^2 = E_4 &\equiv E_1 \pmod{7}. \end{aligned}$$

- ▶ Then

$$\begin{aligned} 1728\Delta &= E_2^3 - E_3^2 \\ &\equiv E_1 E_2 - E_3 \\ &= 3\theta E_2 \pmod{7}. \end{aligned}$$

- ▶ So that

$$\tau(n) \equiv n\sigma_3(n) \pmod{7}.$$

$$E_2 = 1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n$$

$$E_3 = 1 - 504 \sum_{n \geq 1} \sigma_5(n) q^n$$

$$E_4 = 1 + 480 \sum_{n \geq 1} \sigma_7(n) q^n$$

$$\theta f - \frac{k}{12} E_1 f$$

## Ramanujan Can Err

- We have

$$\tau(n) \equiv n\sigma_1(n) \pmod{5}$$

$$\tau(n) \equiv n\sigma_9(n) \pmod{25}$$

$$\tau(n) \equiv n^{41}\sigma_{29}(n) \pmod{125}.$$



# Ramanujan Can Err

- ▶ We have

$$\tau(n) \equiv n\sigma_1(n) \pmod{5}$$

$$\tau(n) \equiv n\sigma_9(n) \pmod{25}$$

$$\tau(n) \equiv n^{41}\sigma_{29}(n) \pmod{125}.$$

- ▶ Ramanujan conjectured that

$$\tau(n) \equiv n^a \sigma_b(n) \pmod{5^k}.$$

## Ramanujan Can Err

- ▶ We have

$$\tau(n) \equiv n\sigma_1(n) \pmod{5}$$

$$\tau(n) \equiv n\sigma_9(n) \pmod{25}$$

$$\tau(n) \equiv n^{41}\sigma_{29}(n) \pmod{125}.$$

- ▶ Ramanujan conjectured that

$$\tau(n) \equiv n^a \sigma_b(n) \pmod{5^k}.$$

- ▶ This is **false** for  $k \geq 4$ .

## Ramanujan Can Err

- ▶ We have

$$\tau(n) \equiv n\sigma_1(n) \pmod{5}$$

$$\tau(n) \equiv n\sigma_9(n) \pmod{25}$$

$$\tau(n) \equiv n^{41}\sigma_{29}(n) \pmod{125}.$$

- ▶ Ramanujan conjectured that

$$\tau(n) \equiv n^a \sigma_b(n) \pmod{5^k}.$$

- ▶ This is **false** for  $k \geq 4$ .
- ▶ Take  $n = 443$ . Since  $443^2 \equiv -1 \pmod{5^4}$ .

$$\tau(443) = 328369848718692 \equiv 567 \not\equiv 443^a(1+443^b) \pmod{5^4}$$

## Open Problems (I)

- ▶ Of course, Lehmer's conjecture

$$\tau(n) \neq 0.$$

## Open Problems (I)

- ▶ Of course, Lehmer's conjecture

$$\tau(n) \neq 0.$$

- ▶ We found

$$\tau(p) \equiv p(1 + p^9) \pmod{25}$$

$$\tau(p) \equiv p(1 + p^3) \pmod{7}$$

$$\tau(p) \equiv 1 + p^{11} \pmod{691}.$$

## Open Problems (I)

- ▶ Of course, Lehmer's conjecture

$$\tau(n) \neq 0.$$

- ▶ We found

$$\tau(p) \equiv p(1 + p^9) \pmod{25}$$

$$\tau(p) \equiv p(1 + p^3) \pmod{7}$$

$$\tau(p) \equiv 1 + p^{11} \pmod{691}.$$

- ▶ Which implies

$$\begin{aligned} \tau(p) = 0 &\implies \left\{ \begin{array}{l} p \equiv -1 \pmod{5^2 \cdot 691} \\ p \equiv -1, 3, 5 \pmod{7} \end{array} \right\} \\ &\implies p = 863749, 1381999, 1589299, \dots \end{aligned}$$

## Open Problems (II)

- Is  $\tau(n)$  ever a prime? Indeed,

$$\begin{aligned}\tau(63001) &= \tau(251^2) = \tau(251)^2 - 251^{11} \\ &= -80561663527802406257321747.\end{aligned}$$

## Open Problems (II)

- ▶ Is  $\tau(n)$  ever a prime? Indeed,

$$\begin{aligned}\tau(63001) &= \tau(251^2) = \tau(251)^2 - 251^{11} \\ &= -80561663527802406257321747.\end{aligned}$$

- ▶ More of the following sort?

$$\tau(p) \equiv 0 \pmod{p} \implies p = 2, 3, 5, 7, 2411, \dots$$

$$\tau(p) \equiv 1 \pmod{p} \implies p = 11, 23, 691, \dots$$

$$\tau(p) \equiv -1 \pmod{p} \implies p = 5807, \dots$$