

**Example 180.** Give a basis for the space of all polynomials of degree 3 or less.

**Solution.** The polynomials  $1, x, x^2, x^3$  form a basis for that space.

To see why, recall that the basis vectors need to do two things: they need to span the space and they need to be independent. Equivalently, every element in the space needs to be representable as a linear combination of the basis elements (they span) and this representation must be unique (they are independent).

We are familiar with the fact that every polynomial  $p(x)$  of degree 3 or less can be written as  $p(x) = a_0 + a_1x + a_2x^2 + a_3x^3$ , and that the coefficients  $a_0, a_1, a_2, a_3$  are unique.

**Important observation.** As a consequence, the  $p(x) = a_0 + a_1x + a_2x^2 + a_3x^3$  can be expressed as  $\begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{bmatrix}$ .

That is, we can work with the familiar column vectors in any vector space, as soon as we have picked a basis. The next example will illustrate how to translate back and forth.

**Example 181.** Give a basis for the space of all polynomials  $p(x)$  of degree 2 or less such that  $p(3) = 0$ .

**Solution. (calculus)** From Calculus, we know that  $p(3) = 0$  means that 3 is a root of the polynomial, and that, as a consequence, the polynomial factors as  $p(x) = (x - 3)q(x)$ , where  $q(x)$  is another polynomial.

Hence, a basis for our space is  $x - 3, x(x - 3)$ .

[That is, we are multiplying  $x - 3$  with  $1, x, x^2, \dots$  but stop at  $x$  because we are restricted to degree 2 or less.]

**Solution. (linear algebra)** Let us start with the basis  $1, x, x^2$  for the space of all polynomials  $p(x)$  of degree 2 or less.

Then, we can identify the polynomial  $p(x) = a_0 + a_1x + a_2x^2$  with the vector  $\begin{bmatrix} a_0 \\ a_1 \\ a_2 \end{bmatrix}$ .

The condition  $p(3) = 0$  translates into  $a_0 + 3a_1 + 9a_2 = 0$ .

In other words, the space of polynomials  $p(x)$  of degree 2 or less such that  $p(3) = 0$  translates into  $\text{null}(\begin{bmatrix} 1 & 3 & 9 \end{bmatrix})$ .

A basis for  $\text{null}(\begin{bmatrix} 1 & 3 & 9 \end{bmatrix})$  is  $\begin{bmatrix} -3 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -9 \\ 0 \\ 1 \end{bmatrix}$ .

The corresponding polynomials are  $-3 + x$  and  $-9 + x^2$ .

**Example 182. (homework)** Give a basis for the space of all polynomials  $p(x)$  of degree 3 or less such that  $p(1) = 0$  and  $p'(1) = 0$ .

**Solution.** Let us start with the basis  $1, x, x^2, x^3$  for the space of all polynomials  $p(x)$  of degree 3 or less.

Then, we can identify the polynomial  $p(x) = a_0 + a_1x + a_2x^2 + a_3x^3$  with the vector  $\begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{bmatrix}$ .

The condition  $p(1) = 0$  translates into  $a_0 + a_1 + a_2 + a_3 = 0$ .

Since  $p'(x) = a_1 + 2a_2x + 3a_3x^2$ , the condition  $p'(1) = 0$  translates into  $a_1 + 2a_2 + 3a_3 = 0$ .

In other words, the space of all polynomials  $p(x)$  of degree 3 or less such that  $p(1) = 0$  and  $p'(1) = 0$  translates into  $\text{null}\left(\begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \end{bmatrix}\right)$ .

A basis for  $\text{null}\left(\begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \end{bmatrix}\right)$  is  $\begin{bmatrix} 1 \\ -2 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ -3 \\ 0 \\ 1 \end{bmatrix}$ . (Fill in the details!)

The corresponding polynomials are  $1 - 2x + x^2$  and  $2 - 3x + x^3$ .

[Check that they indeed satisfy  $p(1) = 0$  and  $p'(1) = 0$ .]

**Comment.** Let's note that it was to be expected from the beginning that the space is 2-dimensional. The space of all polynomials  $p(x)$  of degree 3 or less has dimension 4. Since we impose 2 (independent) conditions, the dimension of our space is  $4 - 2 = 2$ .

**Example 183.** Give a basis for the space of all polynomials.

**Solution.**  $1, x, x^2, x^3, \dots$

Indeed, every polynomial  $p(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$  can be written uniquely as a sum of these basis elements. (“can be” = span; “uniquely” = independent)

**Comment.** The dimension is  $\infty$ . But we can make a list of basis elements, which is the “smallest kind of  $\infty$ ” and is referred to as **countably infinite**. For the space of all functions, no such list can be made.

**Just for fun.** Let us indicate this difference in infiniteness in a slightly simpler situation: first, the natural numbers  $0, 1, 2, 3, \dots$  are infinite but they are countable, because we can make a (infinite but complete) list starting with a first, then a second element and so on (hence, the name “countable”). On the other hand, consider the real numbers between  $0$  and  $1$ . Clearly, there is infinitely many such numbers. The somewhat shocking fact (first realized by Georg Cantor in 1874) is that every attempt of making a complete list of these numbers must fail because every list will inevitably miss some numbers. Here’s a brief indication of how the famous diagonal argument goes: suppose you can make a list, say:

#1	0.111111...
#2	0.123456...
#3	0.750000...
	⋮

Now, we are going to construct a new number  $x = 0.x_1x_2x_3\dots$  with decimal digits  $x_i$  in such a way that the digit  $x_i$  differs from the  $i$ th digit of number  $\#i$  on our list. For instance,  $0.231\dots$  in our case (for instance,  $x_3 = 1$  differs from  $0$ , the 3rd digit of sequence  $\#3$ ). By construction, the number  $x$  is missing from the list.

**Follow-up.** What if we only consider rational numbers in the interval  $[0, 1]$ ? Does the previous argument still apply? Or, can we now make a list?

**Comment on fun.** The statement “some infinities are bigger than others” nicely captures our observation. It appears in the book *The Fault in Our Stars* by John Green, where it is said by a cranky old author who attributes it to Cantor. Hazel, the main character, later reflects on that statement and compares  $[0, 1]$  to  $[0, 2]$ . Can you explain why that is actually not what Cantor meant...?