

- Recall that, in contrast to DES, the operations of AES have very simple (though somewhat advanced) mathematical descriptions.

No mysteriously constructed S-boxes and P-boxes as in DES.

## ByteSub (continued)

Each of the 16 bytes gets substituted as follows.

- Interpret the input byte  $(b_7b_6\dots b_0)_2$  as the element  $b_7x^7 + \dots + b_1x + b_0$  of  $\text{GF}(2^8)$ .
- Compute  $s^{-1} = c_0 + c_1x + \dots + c_7x^7$  (with  $0^{-1}$  interpreted as 0).

**Important comment.** This inversion is what makes AES highly nonlinear.

If the ByteSub substitution was linear, then all of AES would be linear (because all other layers are linear; assuming we adjust the key schedule accordingly).

- Then the output bits  $(d_7d_6\dots d_1d_0)_2$  are

$$\begin{bmatrix} d_0 \\ d_1 \\ \vdots \\ d_7 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} c_0 \\ c_1 \\ \vdots \\ c_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}.$$

**Comment.** The particular choice of matrix and vector has the effect that no ByteSub output equals the ByteSub input (or its complement).

**Example 124.** Invert  $x^2 + 1$  in  $\text{GF}(2^8)$ , constructed as in AES. [Example 120, again]

**Solution.** Recall that for AES,  $\text{GF}(2^8)$  is constructed using  $x^8 + x^4 + x^3 + x + 1$ .

We use the extended Euclidean algorithm for polynomials, and reduce all coefficients modulo 2:

$$\boxed{x^8 + x^4 + x^3 + x + 1} \equiv (x^6 + x^4 + x) \cdot \boxed{x^2 + 1} + 1$$

Hence,  $(x^2 + 1)^{-1} = x^6 + x^4 + x$  in  $\text{GF}(2^8)$ .

**Example 125. (homework)** What happens to the byte  $(0000\ 0101)_2$  during ByteSub?

**Solution.**  $(0000\ 0101)_2$  represents the polynomial  $x^2 + 1$ .

By the previous example, its inverse is  $(x^2 + 1)^{-1} = x^6 + x^4 + x$  in  $\text{GF}(2^8)$ , which is  $c = (0101\ 0010)_2$ .

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \underbrace{\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}}_c + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

[This is just the usual matrix-vector product modulo 2. The highlighted columns are the ones which get added up during this matrix-vector product.]

Hence, the output of ByteSub is the byte  $(0110\ 1011)_2$ .

**Comment.** To check, this indeed matches the value  $107 = (0110\ 1011)_2$  in the lookup table given in Table 5.1 of our book (row 0, column  $(0101)_2 = 5$ ).

## Review: multiplicative order and primitive roots

**Definition 126.** The **multiplicative order** of an invertible residue  $a$  modulo  $n$  is the smallest positive integer  $k$  such that  $a^k \equiv 1 \pmod{n}$ .

**Lemma 127.** If  $a^r \equiv 1 \pmod{n}$  and  $a^s \equiv 1 \pmod{n}$ , then  $a^{\gcd(r,s)} \equiv 1 \pmod{n}$ .

**Proof.** By Bezout's identity, there are integers  $x, y$  such that  $xr + ys = \gcd(r, s)$ .

Hence,  $a^{\gcd(r,s)} = a^{xr+ys} = a^{xr}a^{ys} = (a^r)^x(a^s)^y \equiv 1 \pmod{n}$ .  $\square$

**Corollary 128.** The multiplicative order of  $a$  modulo  $n$  divides  $\phi(n)$ .

**Proof.** Let  $k$  be the multiplicative order, so that  $a^k \equiv 1 \pmod{n}$ . By Euler's theorem  $a^{\phi(n)} \equiv 1 \pmod{n}$ .

The previous lemma shows that  $a^{\gcd(k, \phi(n))} \equiv 1 \pmod{n}$ . But since the multiplicative order is the smallest exponent, it must be the case that  $\gcd(k, \phi(n)) = k$ . Equivalently,  $k$  divides  $\phi(n)$ .  $\square$

**Definition 129.** If the multiplicative order of an residue  $a$  modulo  $n$  equals  $\phi(n)$  [in other words, the order is as large as possible], then  $a$  is said to be **primitive root** modulo  $n$ .

A primitive root is also referred to as a **multiplicative generator** (because the products of  $a$ , that is,  $1, a, a^2, a^3, \dots$ , produce all invertible residues).

**Example 130.** Compute the multiplicative order of 2 modulo 7, 11, 9, 15. In each case, is 2 a primitive root?

**Solution.**

- $2 \pmod{7}$ :  $2^2 \equiv 4, 2^3 \equiv 1$ . Hence, the order of 2 modulo 7 is 3.  
Since the order is less than  $\phi(7) = 6$ , 2 is not a primitive root modulo 7.
- $2 \pmod{11}$ : Since  $\phi(11) = 10$ , the only possible orders are 2, 5, 10. Hence, checking that  $2^2 \not\equiv 1$  and  $2^5 \not\equiv 1$  is enough to conclude that the order must be 10.  
Since the order is equal to  $\phi(11) = 10$ , 2 is a primitive root modulo 11.  
**Brute force approach (too much unnecessary work).** Just for comparison,  $2^0 = 1, 2^1 = 2, 2^2 = 4, 2^3 = 8, 2^4 \equiv 5, 2^5 \equiv 2 \cdot 5 = 10, 2^6 \equiv 2 \cdot 10 \equiv 9, 2^7 \equiv 2 \cdot 9 \equiv 7, 2^8 \equiv 2 \cdot 7 \equiv 3, 2^9 \equiv 2 \cdot 3 = 6, 2^{10} \equiv 2 \cdot 6 \equiv 1$ .  
Thus, the order of 2 mod 11 is 10.
- $2 \pmod{9}$ : Since  $\phi(9) = 6$ , the only possible orders are 2, 3, 6. Hence, checking that  $2^2 \not\equiv 1$  and  $2^3 \not\equiv 1$  is enough to conclude that the order must be 6. (Indeed,  $2^2 \equiv 4, 2^3 \equiv 8, 2^4 \equiv 7, 2^5 \equiv 5, 2^6 \equiv 1$ .)  
Since the order is equal to  $\phi(9) = 6$ , 2 is a primitive root modulo 9.
- The order of 2 (mod 15) is 4 (a divisor of  $\phi(15) = 8$ ).  
2 is not a primitive root modulo 15. In fact, there is no primitive root modulo 15.

**Comment.** It is an open conjecture to show that 2 is a primitive root modulo infinitely many primes. (This is a special case of Artin's conjecture which predicts much more.)

**Advanced comment.** There exists a primitive root modulo  $n$  if and only if  $n$  is of one of  $1, 2, 4, p^k, 2p^k$  for some odd prime  $p$ .