

Interpolated sequences and critical L -values of modular forms

Special Session on Partition Theory and Related Topics
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$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \quad f(\tau) = \eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} \alpha_n q^n$$

1, 5, 73, 1445, 33001, 819005, 21460825, ...

$$A\left(\frac{p-1}{2}\right) \equiv \alpha_p \pmod{p^2}$$

$$A\left(-\frac{1}{2}\right) = \frac{16}{\pi^2} L(f, 2)$$



Joint work with:

Robert Osburn
(University College Dublin)

Apéry numbers and the irrationality of $\zeta(3)$

- The **Apéry numbers**

1, 5, 73, 1445, ...

satisfy

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

$$(n+1)^3 u_{n+1} = (2n+1)(17n^2 + 17n + 5)u_n - n^3 u_{n-1}.$$



THM
Apéry '78

$\zeta(3) = \sum_{n \geq 1} \frac{1}{n^3}$ is irrational.

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THM
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$\zeta(3) = \sum_{n \geq 1} \frac{1}{n^3}$ is irrational.

proof

The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left(\sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then, $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$. But too fast for $\zeta(3)$ to be rational. \square

Zagier's search and Apéry-like numbers

- The Apéry numbers $B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}$ for $\zeta(2)$ satisfy

$$(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}, \quad (a, b, c) = (11, 3, -1).$$

Q
Beukers

Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0$, $u_0 = 1$ is integral?

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Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0, u_0 = 1$ is integral?

- Apart from degenerate cases, Zagier found 6 sporadic integer solutions:

*	$C_*(n)$	*	$C_*(n)$
A	$\sum_{k=0}^n \binom{n}{k}^3$	D	$\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{n}$
B	$\sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k 3^{n-3k} \binom{n}{3k} \frac{(3k)!}{k!^3}$	E	$\sum_{k=0}^n \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k}$
C	$\sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k}$	F	$\sum_{k=0}^n (-1)^k 8^{n-k} \binom{n}{k} C_{\mathbf{A}}(k)$

Modularity of Apéry-like numbers

- The Apéry numbers

1, 5, 73, 1145, ...

satisfy

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

$$\underbrace{\frac{\eta^7(2\tau)\eta^7(3\tau)}{\eta^5(\tau)\eta^5(6\tau)}}_{\text{modular form}} = \sum_{n \geq 0} A(n) \underbrace{\left(\frac{\eta^{12}(\tau)\eta^{12}(6\tau)}{\eta^{12}(2\tau)\eta^{12}(3\tau)} \right)^n}_{\text{modular function}} .$$

$$1 + 5q + 13q^2 + 23q^3 + O(q^4)$$

$$q - 12q^2 + 66q^3 + O(q^4)$$

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FACT Not at all evidently, such a **modular parametrization** exists for all known Apéry-like numbers!

- Context: $f(\tau)$ modular form of weight k
 $x(\tau)$ modular function
 $y(x)$ such that $y(x(\tau)) = f(\tau)$

Then $y(x)$ satisfies a linear differential equation of order $k + 1$.

L -value interpolations

THM
Ahlgren–
Ono
2000

For primes $p > 2$, the Apéry numbers for $\zeta(3)$ satisfy

$$A\left(\frac{p-1}{2}\right) \equiv a_f(p) \pmod{p^2},$$

with $f(\tau) = \eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} a_f(n) q^n \in S_4(\Gamma_0(8))$.

conjectured (and proved modulo p) by Beukers '87



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THM
Zagier
2016

$$A\left(-\frac{1}{2}\right) = \frac{16}{\pi^2} L(f, 2)$$

- Here, $A(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}^2$ is absolutely convergent for $x \in \mathbb{C}$.
- Predicted by Golyshev based on motivic considerations, the connection of the Apéry numbers with the double covering of a family of K3 surfaces, and the Tate conjecture.



D. Zagier

Arithmetic and topology of differential equations
Proceedings of the 2016 ECM, 2017



L -value interpolations, cont'd

- Zagier found 6 sporadic integer solutions $C_*(n)$ to:

* one of $A-F$

$$(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1} \quad u_{-1} = 0, u_0 = 1$$

THM
1985
-
2019

There exists a weight 3 newform $f_*(\tau) = \sum_{n \geq 1} \gamma_{n,*} q^n$, so that

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- **C**, **D** proved by Beukers–Stienstra ('85); **A** follows from their work
- **E** proved using a result Verrill ('10); **B** through p -adic analysis
- **F** conjectured by Osburn–S and proved by Kazalicki ('19) using Atkin–Swinnerton-Dyer congruences for non-congruence cusp forms

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THM
Osburn
S '18

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L -value interpolations, cont'd

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For sequence E ,

$$\operatorname{res}_{x=-1/2} C_E(x) = \frac{6}{\pi^2} L(f_E, 1).$$

L-value interpolations, cont'd

$$C_*(-\frac{1}{2}) = \frac{\alpha_*}{\pi^2} L(f_*, 2)$$

*	$C_*(n)$	$f_*(\tau)$	N_*	CM	α_*
A	$\sum_{k=0}^n \binom{n}{k}^3$	$\frac{\eta(4\tau)^5 \eta(8\tau)^5}{\eta(2\tau)^2 \eta(16\tau)^2}$	32	$\mathbb{Q}(\sqrt{-2})$	8
B	$\sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k 3^{n-3k} \binom{n}{3k} \frac{(3k)!}{k!^3}$	$\eta(4\tau)^6$	16	$\mathbb{Q}(\sqrt{-1})$	8
C	$\sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k}$	$\eta(2\tau)^3 \eta(6\tau)^3$	12	$\mathbb{Q}(\sqrt{-3})$	12
D	$\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{n}$	$\eta(4\tau)^6$	16	$\mathbb{Q}(\sqrt{-1})$	16
E	$\sum_{k=0}^n \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k}$	$\eta(\tau)^2 \eta(2\tau) \eta(4\tau) \eta(8\tau)^2$	8	$\mathbb{Q}(\sqrt{-2})$	6
F	$\sum_{k=0}^n (-1)^k 8^{n-k} \binom{n}{k} C_A(k)$	$q - 2q^2 + 3q^3 + \dots$	24	$\mathbb{Q}(\sqrt{-6})$	6

Q What is the proper way of defining $C(-\frac{1}{2})$?

EG $a(n) = n!$ is interpolated by $a(x) = \Gamma(x + 1) = \int_0^\infty t^x e^{-t} dt$.

Interpolating sequences

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THM
Glaisher
1874

$$\int_0^\infty (a(0) - a(1)x^2 + a(2)x^4 - \dots) dx = \frac{\pi}{2} a(-\frac{1}{2})$$



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“poof”

$$\int_0^\infty \frac{1}{1+x^2} S \cdot a(0) dx = \frac{\pi}{2} S^{-1/2} \cdot a(0)$$



(Glaisher's formal proof, simplified by O'Kinealy)

Here, S is the shift operator: $S \cdot b(n) = b(n + 1)$

Interpolating sequences: Ramanujan's master theorem

THM
Ramanujan
Hardy

$$\int_0^{\infty} x^{s-1} (a(0) - xa(1) + x^2a(2) - \dots) dx = \frac{\pi}{\sin s\pi} a(-s)$$



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THM
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for $0 < \operatorname{Re} s < \delta$, provided that

- a is analytic on $H(\delta) = \{z \in \mathbb{C} : \operatorname{Re} z \geq -\delta\}$,
- $|a(x + iy)| < Ce^{\alpha|x| + \beta|y|}$ for some $\beta < \pi$.



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COR
Carlson
1914

Suppose a satisfies the conditions for RMT. If

$$a(0) = 0, \quad a(1) = 0, \quad a(2) = 0, \quad \dots,$$

then $a(z) = 0$ identically.



- However, we will see that our interpolations do not arise in this way.

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EG
Zagier

$$(x+2)^3 A(x+2) - (2x+3)(17x^2 + 51x + 39)A(x+1) + (x+1)^3 A(x) = 0 \quad \text{for all } x \in \mathbb{Z}_{\geq 0}$$

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In particular, $A(x)$ does not satisfy the (vertical) growth conditions of RMT.

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In particular, $A(x)$ does not satisfy the (vertical) growth conditions of RMT.

- For the $\zeta(2)$ Apéry numbers $B(n)$, we use $B(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}$.

However:

- The series diverges if $\operatorname{Re} x < -1$.
- $Q(x, S_x)B(x) = 0$ where $Q(x, S_x)$ is Apéry's recurrence operator.

Interpolating sequences

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$$C_C(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} = {}_3F_2 \left(\begin{matrix} -n, -n, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| 4 \right)$$

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We use the interpolation $C_C(x) = \operatorname{Re} {}_3F_2 \left(\begin{matrix} -x, -x, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| 4 \right)$.

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This has a simple pole at $n = -\frac{1}{2}$.

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EG

$$C(n) = \sum_{\substack{k_1, k_2, k_3, k_4=0 \\ k_1+k_2=k_3+k_4}}^n \prod_{i=1}^4 \binom{n}{k_i} \binom{n+k_i}{k_i}.$$

How to compute $C(-\frac{1}{2})$?

- RE: order 4, degree 15
- DE: order 7, degree 17
(2 analytic solutions)

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How to compute $C(-\frac{1}{2})$?

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THM

McCarthy,
Osburn,
S 2018

For any odd prime p ,

$$C\left(\frac{p-1}{2}\right) \equiv \gamma(p) \pmod{p^2}, \quad \eta^{12}(2\tau) = \sum_{n \geq 1} \gamma(n) q^n \in S_6(\Gamma_0(4))$$

Q Is there a Zagier-type interpolation?

Conclusions

- Golyshev and Zagier observed that for

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2, \quad f(\tau) = \eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} \alpha_n q^n$$

the known modular congruences have a continuous analog:

weight 4

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- all six sporadic sequences of Zagier
- an infinite family of leading coefficients of Brown's cellular integrals

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- Further examples exist. What is the natural framework?

Apéry-like sequences, CM modular forms, hypergeometric series, ...

- How to characterize the analytic interpolations abstractly?

We used suitable binomial sums. But the interpolations are not unique! (Some grow like $\sin(\pi x)$ as $x \rightarrow i\infty$.)

- Polynomial analogs?

THANK YOU!

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D. McCarthy, R. Osburn, A. Straub

Sequences, modular forms and cellular integrals

Mathematical Proceedings of the Cambridge Philosophical Society, 2018



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D. Zagier

Arithmetic and topology of differential equations

Proceedings of the 2016 ECM, 2017

$$I_n = (-1)^n \int_0^1 \int_0^1 \frac{x^n(1-x)^n y^n(1-y)^n}{(1-xy)^{n+1}} dx dy$$

$$J_n = \frac{1}{2} \int_0^1 \int_0^1 \int_0^1 \frac{x^n(1-x)^n y^n(1-y)^n w^n(1-w)^n}{(1-(1-xy)w)^{n+1}} dx dy dw$$

- Beukers showed that

$$I_n = a(n)\zeta(2) + \tilde{a}(n), \quad J_n = b(n)\zeta(3) + \tilde{b}(n)$$

Beukers' proof of the irrationality of $\zeta(3)$

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- Brown realizes these as period integrals, for $N = 5, 6$, on the moduli space $\mathcal{M}_{0,N}$ of curves of genus 0 with N marked points.

THM
Brown
2009

Period integrals on $\mathcal{M}_{0,N}$ are \mathbb{Q} -linear combinations of multiple zeta values (MZVs).
(conjectured by Goncharov–Manin, 2004)

- Examples of such integrals can be written as: $(a_i, b_j, c_{ij} \in \mathbb{Z})$

$$\int_{0 < t_1 < \dots < t_{N-3} < 1} \prod t_i^{a_i} (1 - t_j)^{b_j} (t_i - t_j)^{c_{ij}} dt_1 \dots dt_{N-3}$$

- Typically involve MZVs of all weights $\leq N - 3$.



Brown's cellular integrals

THM
Brown
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- Typically involve MZVs of all weights $\leq N - 3$.
- Brown constructs families of integrals $I_\sigma(n)$, for which MZVs of submaximal weight vanish.

Here, σ are certain (“convergent”) permutations in S_N .

N	5	6	7	8	9	10	11
# of σ	1	1	5	17	105	771	7028



One of Brown's cellular integrals

- One of the 17 permutations for $N = 8$ is $\sigma = (8, 3, 6, 1, 4, 7, 2, 5)$.
- Cellular integral $I_\sigma(n) = \int_\Delta f_\sigma^n \omega_\sigma$ where $\Delta : 0 < t_2 < \dots < t_6 < 1$

$$f_\sigma = \frac{(-t_2)(t_2 - t_3)(t_3 - t_4)(t_4 - t_5)(t_5 - t_6)(t_6 - 1)}{(t_3 - t_6)(t_6)(-t_4)(t_4 - 1)(1 - t_2)(t_2 - t_5)}, \quad \omega_\sigma = \frac{dt_2 dt_3 dt_4 dt_5 dt_6}{(t_3 - t_6)(t_6)(-t_4)(t_4 - 1)(1 - t_2)(t_2 - t_5)}.$$

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EG
Panzer:
HyperInt

$$I_\sigma(0) = 16\zeta(5) - 8\zeta(3)\zeta(2)$$

$$I_\sigma(1) = 33I_\sigma(0) - 432\zeta(3) + 316\zeta(2) - 26$$

$$I_\sigma(2) = 8929I_\sigma(0) - 117500\zeta(3) + \frac{515189}{6}\zeta(2) - \frac{331063}{48}$$

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LEM
McCarthy,
Osburn,
S 2018

$$A_\sigma(n) = \sum_{\substack{k_1, k_2, k_3, k_4=0 \\ k_1+k_2=k_3+k_4}}^n \prod_{i=1}^4 \binom{n}{k_i} \binom{n+k_i}{k_i}$$

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CONJ
McCarthy,
Osburn,
S 2018

For each $N \geq 5$ and convergent σ_N , the leading coefficients $A_{\sigma_N}(n)$ satisfy ($p \geq 5$)

$$A_{\sigma_N}(mp^r) \equiv A_{\sigma_N}(mp^{r-1}) \pmod{p^{3r}}.$$

For $N = 5, 6$ these are the supercongruences proved by Beukers and Coster.

One of Brown's cellular integrals, cont'd

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LEM

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THM

McCarthy,
Osburn,
S 2018

For any odd prime p ,

$$A_\sigma\left(\frac{p-1}{2}\right) \equiv \gamma(p) \pmod{p^2}.$$

where $\eta^{12}(2\tau) = \sum_{n \geq 1} \gamma(n) q^n$ is the unique newform in $S_6(\Gamma_0(4))$.

The Ahlgren–Ono supercongruences

THM
Ahlgren–
Ono
'00

For any odd prime p , the Apéry numbers for $\zeta(3)$ satisfy

$$A\left(\frac{p-1}{2}\right) \equiv \alpha(p) \pmod{p^2},$$

with $\eta(2\tau)^4\eta(4\tau)^4 = \sum_{n \geq 1} \alpha(n)q^n$ the unique newform in $S_4(\Gamma_0(8))$.

THM
Ahlgren
'01

For any prime $p \geq 5$, the Apéry numbers for $\zeta(2)$ satisfy

$$B\left(\frac{p-1}{2}\right) \equiv \beta(p) \pmod{p^2},$$

with $\eta(4\tau)^6 = \sum_{n \geq 1} \beta(n)q^n$ the unique newform in $S_3(\Gamma_0(16), (\frac{-4}{\cdot}))$.

- conjectured (and proved modulo p) by Beukers '87

Congruences and interpolations for cellular integrals

- For an explicit family σ_N of convergent configurations,
 $A_{\sigma_N}(n) = C_D(n)^{(N-3)/2}$.

Congruences and interpolations for cellular integrals

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- For odd $k \geq 3$, consider the weight k binary theta series

$$f_k(\tau) = \frac{1}{4} \sum_{(n,m) \in \mathbb{Z}^2} (-1)^{m(k-1)/2} (n - im)^{k-1} q^{n^2+m^2} =: \sum_{n \geq 1} \gamma_k(n) q^n.$$

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THM
McCarthy,
OS '18

Let $N \geq 5$ be odd and $k = N - 2$. Then, for all primes $p \geq 5$,

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THM

OS '18

Let $N \geq 5$ be odd and $k = N - 2$. Then,

$$A_{\sigma_N}\left(-\frac{1}{2}\right) = \frac{\alpha_k}{\pi^{k-1}} L(f_k, k-1),$$

where α_k are explicit rational numbers, defined recursively.

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